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|--|--|---|--|--|--|
| FORM PTO-1390<br>(REV. 11-2000)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br><br>37945-0066   |  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |  |   |  | U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/508854</b><br><small>To be assigned</small> |  |
|  |  |   |  |  |  |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/GB03/01270</b>   |  | INTERNATIONAL FILING DATE<br><b>March 25, 2003</b>      |  | PRIORITY DATE CLAIMED<br><b>March 2002</b>   |  |
| TITLE OF INVENTION<br><br><b>COMPOUNDS COMPRISING PSEUDO-AMINO ACIDS</b>   |  |   |  |  |  |
| APPLICANT(S) FOR DO/EO/US<br><br><b>Jason Richard BETLEY</b>   |  |   |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |  |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.<br>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<br>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).<br>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).<br>a. <input type="checkbox"/> is attached hereto.<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).<br>b. <input type="checkbox"/> had been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input checked="" type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br> |  |   |  |  |  |
| Items 11 to 20. below concern document(s) or information included:   |  |   |  |  |  |
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included<br>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.<br>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input type="checkbox"/> A change of power of attorney and/or address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 132 and 35 U.S.C.<br>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4)<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).<br>20. <input checked="" type="checkbox"/> Other items or information: <b>Application Data Sheet</b>   |  |   |  |  |  |

**37945-0066**

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107508854

PTO/SB/17 (01-03)

Approved for use through 07/31/2006. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ **920.00**)

**071240001 PTO 24 SEP 2004**

|                      |                      |
|----------------------|----------------------|
| Application Number   | To be assigned       |
| Filing Date          | September 24, 2004   |
| First Named Inventor | Jason Richard BETLEY |
| Examiner Name        | To be assigned       |
| Art Unit             | To be assigned       |
| Attorney Docket No.  | 37945-0066           |

**METHOD OF PAYMENT (check one)**
☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

 Deposit  
Account  
Number

08-1641 (Docket No. 37945-0066)

 Deposit  
Account  
Name

Heller Ehrman White &amp; McAuliffe LLP

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☒ Credit any overpayments and charge any deficiency  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION**
**1. BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001           | 770             | 2001           | 385             | Utility filing fee     |          |
| 1002           | 340             | 2002           | 170             | Design filing fee      |          |
| 1003           | 530             | 2003           | 265             | Plant filing fee       |          |
| 1004           | 770             | 2004           | 385             | Reissue filing fee     |          |
| 1005           | 160             | 2005           | 80              | Provisional filing fee |          |

**SUBTOTAL (1)** (\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** =      | x              | =        |
| Independent Claims | -3** =       | x              | =        |
| Multiple Dependent |              | =              |          |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 1202           | 18              | 2202           | 9               | Claims in excess of 20                                    |
| 1201           | 86              | 2201           | 43              | Independent claims in excess of 3                         |
| 1203           | 290             | 2203           | 145             | Multiple dependent claim, if not paid                     |
| 1204           | 86              | 2204           | 43              | **Reissue independent claims over original patent         |
| 1205           | 18              | 2205           | 9               | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051           | 130             | 2051           | 65              | Surcharge - late filing fee or oath  |          |
| 1052           | 50              | 2052           | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053           | 130             | 1053           | 130             | Non-English specification  |          |
| 1812           | 2,520           | 1812           | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804           | 920*            | 1804           | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 1805           | 1,840*          | 1805           | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 1251           | 110             | 2251           | 55              | Extension for reply within first month                                     |          |
| 1252           | 420             | 2252           | 210             | Extension for reply within second month                                    |          |
| 1253           | 950             | 2253           | 475             | Extension for reply within third month                                     |          |
| 1254           | 1,480           | 2254           | 740             | Extension for reply within fourth month                                    |          |
| 1255           | 2,010           | 2255           | 1,005           | Extension for reply within fifth month                                     |          |
| 1401           | 330             | 2401           | 165             | Notice of Appeal   |          |
| 1402           | 330             | 2402           | 165             | Filing a brief in support of an appeal                                     |          |
| 1403           | 290             | 2403           | 145             | Request for oral hearing   |          |
| 1451           | 1,510           | 1451           | 1,510           | Petition to institute a public use proceeding                              |          |
| 1452           | 110             | 2452           | 55              | Petition to revive - unavoidable   |          |
| 1453           | 1,330           | 2453           | 665             | Petition to revive - unintentional   |          |
| 1501           | 1,330           | 2501           | 665             | Utility issue fee (or reissue)   |          |
| 1502           | 480             | 2502           | 240             | Design issue fee   |          |
| 1503           | 640             | 2503           | 320             | Plant issue fee  |          |
| 1460           | 130             | 1460           | 130             | Petitions to the Commissioner  |          |
| 1807           | 50              | 1807           | 50              | Processing fee under 37 CFR 1.17(q)  |          |
| 1806           | 180             | 1806           | 180             | Submission of Information Disclosure Stmt                                  |          |
| 8021           | 40              | 8021           | 40              | Recording each patent assignment per property (times number of properties) |          |
| 1809           | 770             | 2809           | 385             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810           | 770             | 2810           | 385             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801           | 770             | 2801           | 385             | Request for Continued Examination (RCE)                                    |          |
| 1802           | 900             | 1802           | 900             | Request for expedited examination of a design application                  |          |

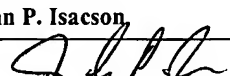
Other fee (specify): **Basic National Filing Fee**

\$920.00

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) **920.00**
**SUBMITTED BY**

Complete (if applicable)

|                   |   |                                   |                    |              |              |
|-------------------|---|-----------------------------------|--------------------|--------------|--------------|
| Name (Print/Type) | John P. Isacson   | Registration No. (Attorney/Agent) | 33,715             | Telephone    | 202-912-2000 |
| Signature         |  | Date                              | September 24, 2004 | Customer No. | 26633        |